

Tax-Free Unit Trust Application Form

Individual Investors (new investors only)



- View the full list of funds and the Minimum Disclosure Documents (MDD's) with applicable fund minimums and fees, refer to www.sanlamunittrustsmdd.co.za
- The [Terms and Conditions](#) are available on the web. If you cannot access the link provided above this can be obtained from our Client Contact Centre.
- To comply with regulatory requirements we must identify and verify you before investing your funds.
- The investment will be finalised once we receive the fully completed, dated, and signed form, with all the necessary supporting documents.
- If you wish to make an EFT payment, we will provide you with our bank details and your client account number once your Unit Trust account is opened.



- Completing the information correctly** will ensure that the investment is processed without delays.
- All information must be accurately completed
 - The form must be completed, **dated and signed** by the registered investor, or authorised signatories with valid authorisation from the investor such as a power of attorney or a mandate
 - Do not write any instructions outside the allocated fields
 - Initial any changes made
 - Return **pages 2 to 8** to us with the relevant additional sections below.
 - Complete and return the following sections if applicable:
 - **Appoint a financial adviser / broker** - Form A
 - **Authorisation from bank account holder** - Form B
 - **Invest on behalf of the investor** - Form C



Please note.

In terms of the Client Due Diligence Act (**CDD**), we are required to obtain supporting documents for all legal entities as well as the applicable parties acting on their behalf. Please complete the information and supply the documents as specified in the [Regulatory Supporting Information](#)



Our contact details

Send the completed form and supporting documents to:

E-mail utinstructions@sanlaminvestmentssupport.com

If you have any questions, contact us at:

E-mail service@sanlaminvestments.com

Tel 0860 100 266

Website www.sanlaminvestments.com



Cut off times

Fund Type

Money Market funds
All other funds

Cut off time

13:00
15:00

All required documents must be provided before the cut off time in order for your instruction to be processed on the same day.

Tax-Free Unit Trust Application Form

Individual Investors (new investors only)

1. Investor classification

The section is only applicable to the following parties:

| | |
|---|-------------------------|
| <input type="checkbox"/> Sanlam Group | Employee pay code _____ |
| <input type="checkbox"/> Sanlam Private Wealth (SPW) Portfolio Managers | BDA number _____ |

Do you have an existing Unit Trust with us? Yes No

If yes, please supply the Investor code _____

2. Investor details

All fields in section 2 are mandatory.

Title _____ First name(s) _____

Surname _____ Gender _____

Date of birth _____ (ddmmccyy) Country of birth _____

Citizenship _____

Other Citizenship _____

Identity number _____

OR Passport number _____ **OR** Social security number _____

Expiry date _____ (ddmmccyy)

Country of issue _____

Occupation Minor/Scholar Retired Salaried employee
 Self-employed Student Unemployed

Email address _____

Residential address _____

Country _____ Postal code _____

| Contact numbers | International dialling code | Area code | Number |
|------------------------------------|-----------------------------|-----------|--------|
| Telephone (work) - <i>optional</i> | | | |
| Telephone (home) - <i>optional</i> | | | |
| Cell/mobile | | n.a. | |

Industry Type

- | | | |
|---|---|--|
| <input type="checkbox"/> Accounting Services | <input type="checkbox"/> Administrative and Support Services | <input type="checkbox"/> Adult Entertainment |
| <input type="checkbox"/> Aerospace & Defense | <input type="checkbox"/> Agriculture, Forestry and Fishing | <input type="checkbox"/> Arms Dealers |
| <input type="checkbox"/> Arts, Entertainment and Recreation | <input type="checkbox"/> Automobiles & Parts | <input type="checkbox"/> Banks |
| <input type="checkbox"/> Beverages | <input type="checkbox"/> Broadcasting and Entertainment | <input type="checkbox"/> Cannabis/CBD Industry |
| <input type="checkbox"/> Cash Aggregators | <input type="checkbox"/> Chemical Engineering/Manufacturing | <input type="checkbox"/> Community and Social Activities |
| <input type="checkbox"/> Construction and Civil Engineering | <input type="checkbox"/> Consumer Goods: Wholesale and Retail | <input type="checkbox"/> Domestic Services/Gardening Services |
| <input type="checkbox"/> Education | <input type="checkbox"/> Electricity, Solar, Water, Gas and Waste Services | <input type="checkbox"/> Electronic & Electrical Equipment |
| <input type="checkbox"/> Entrepreneurship | <input type="checkbox"/> Equity Investment Instruments | <input type="checkbox"/> Estate, Living and Family Trusts |
| <input type="checkbox"/> Extractive Services, Mining and Quarrying | <input type="checkbox"/> Financial and Insurance | <input type="checkbox"/> Food Producers |
| <input type="checkbox"/> Gambling | <input type="checkbox"/> Government Services | <input type="checkbox"/> Healthcare and Medical |
| <input type="checkbox"/> High Transaction Volume Import/Export Companies | <input type="checkbox"/> High Value Goods Dealers (Including Motor Vehicle Dealers, Art Dealers, Luxury Goods/Services Etc) | <input type="checkbox"/> Household Goods & Home Construction Materials |
| <input type="checkbox"/> Industrial Engineering | <input type="checkbox"/> Industrial Metals | <input type="checkbox"/> Informal Trading |
| <input type="checkbox"/> Information Technology, Communication and Telecoms | <input type="checkbox"/> Legal Practitioner | <input type="checkbox"/> Manufacturing |
| <input type="checkbox"/> Media | <input type="checkbox"/> Money Transfer/Service Business | <input type="checkbox"/> Motor Wholesale, Retail Trade and Repair |
| <input type="checkbox"/> Non-Equity Investment Instruments | <input type="checkbox"/> Non-Profit Organisation/Regulated Charity | <input type="checkbox"/> Non-Government Organisation (NGO) |
| <input type="checkbox"/> Oil & Gas Producers/Suppliers | <input type="checkbox"/> Pawn Brokers/Second Hand Dealers | <input type="checkbox"/> Pharmaceutical & Biotechnology |
| <input type="checkbox"/> Precious Metals and Stone Dealers | <input type="checkbox"/> Professional Sport | <input type="checkbox"/> Public Finance Management Art Schedule |
| <input type="checkbox"/> Real Estate and Property Services | <input type="checkbox"/> Reinsurance | <input type="checkbox"/> Scrap Metal Industry |
| <input type="checkbox"/> Shell Banking | <input type="checkbox"/> State Owned Enterprises | <input type="checkbox"/> Tobacco |
| <input type="checkbox"/> Transport, Storage, Courier and Freight | <input type="checkbox"/> Travel, Tourism, Accommodation and Food Services | <input type="checkbox"/> Virtual Currencies |

3. Investment fund details

Name your Investment Goal _____

(example Peter's University fund)

Please select the fund(s) you would like to invest in and indicate the amount you would like to invest.

If you are unsure about which funds suit your needs, please consult your broker or Sanlam financial adviser.

Please review the full list of funds and the Minimum Disclosure Documents (MDD's) with applicable fund minimums and fees, refer to www.sanlamunittrustsmdd.co.za.

| Unit Trust Fund | *Class | **Tax-Free Savings Account Transfer (R) | Lump Sum deposit <i>Please provide an estimate if amount is still to be confirmed.</i> (R) | Monthly recurring Debit Order (R) | Income distribution (Please tick selection) | |
|---|--------|---|--|-----------------------------------|---|--------------------------|
| | | | | | Reinvest | Payout |
| Merchant West SCI Balanced Plus Fund | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| Merchant West SCI Cautious Fund | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| Merchant West SCI Dividend Equity Fund | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| Merchant West SCI Enhanced Income Fund | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| Merchant West SCI Flexible Income Fund | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| Merchant West SCI Global Equity Feeder Fund | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| Merchant West SCI Global Managed Growth Fund | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| Merchant West SCI Global Property Income Fund | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| Merchant West SCI Managed P&G® Fund | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| Merchant West SCI Money Market Fund | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| Merchant West SCI Stable P&G® Fund | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| Merchant West SCI Value Fund | | | | | <input type="checkbox"/> | <input type="checkbox"/> |

| Unit Trust Fund | *Class | **Tax-Free Savings Account Transfer (R) | Lump Sum deposit <i>Please provide an estimate if amount is still to be confirmed.</i> (R) | Monthly recurring Debit Order (R) | Income distribution (Please tick selection) | |
|---|--------|---|--|-----------------------------------|---|--------------------------|
| | | | | | Reinvest | Payout |
| Merchant West SCI Worldwide Flexible Fund | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | | | <input type="checkbox"/> | <input type="checkbox"/> |

* If you do not specify a fund class, your investment will be allocated to a default class

** If you intend to transfer money into your Tax-Free Savings Account from another Tax-Free Product Provider, please provide your fund choice and an estimate of the amount under "Tax-Free Savings Account Transfer".

4. Source of Income / Source of Funds (Select only one option)

- | | | |
|---|---|---|
| <input type="checkbox"/> Allowance | <input type="checkbox"/> Bonus | <input type="checkbox"/> Bursary |
| <input type="checkbox"/> Business Operating Income | <input type="checkbox"/> Commission | <input type="checkbox"/> Company profits |
| <input type="checkbox"/> Company sale/sale of interest in company | <input type="checkbox"/> Debt capital | <input type="checkbox"/> Disability/Social grant |
| <input type="checkbox"/> Dividends from investments | <input type="checkbox"/> Divorce Settlement | <input type="checkbox"/> Equity capital |
| <input type="checkbox"/> Gambling winnings | <input type="checkbox"/> Gift/Donation | <input type="checkbox"/> Gratuity |
| <input type="checkbox"/> Income from previous employment | <input type="checkbox"/> Inheritance | <input type="checkbox"/> Loan |
| <input type="checkbox"/> Lobola | <input type="checkbox"/> Lottery winnings | <input type="checkbox"/> Maintenance (formal agreement) |
| <input type="checkbox"/> Maintenance (informal agreement) | <input type="checkbox"/> Maturing investments | <input type="checkbox"/> Pension |
| <input type="checkbox"/> Provident fund | <input type="checkbox"/> Rental of property | <input type="checkbox"/> Retirement funds |
| <input type="checkbox"/> Salary | <input type="checkbox"/> Sale asset/property | <input type="checkbox"/> Sale of Shares |
| <input type="checkbox"/> Sanlam payout | <input type="checkbox"/> Savings | <input type="checkbox"/> Settlement |
| <input type="checkbox"/> Tax Rebate | <input type="checkbox"/> Transfer to/from approved fund | <input type="checkbox"/> Trust Income |
| <input type="checkbox"/> Virtual Currency | | |

5. Payment instructions

You have the following options for payment:

5.1 We collect funds via debit order

Monthly debit order on the _____ (dd) day of each month starting _____ (mmccyy)
(This date is only between the 1st and the 28th).

Annual increase _____ %

Annual increase date _____ (mmccyy)

Payment selection

Payment is from my own bank account
(Complete Section 6)

OR Payment is from a third party bank account
(Complete Form B)

For use when opening an investment for a Minor, or if the debit order is being paid by a third party.

OR

5.2 You pay via an Electronic Fund Transfer (EFT)

Lump sum deposit

- Once your account has been opened, you will receive notification and payment instructions.

OR

5.3 Transferring in from another Tax-Free Product Provider

Tax-Free lump sum transfer

- In addition to this form, a Tax-Free Savings Account Transfer form is required to be completed. Please contact our client Contact Centre to obtain this form.

Transferring Tax-Free Product Provider name _____

Tax-Free Savings Account number to be transferred from _____

6. Investor banking details

The banking details specified will be used for

- Disinvesting
- Income distribution payments
- Debit order

Payments will only be made into the account of the registered investor. Payments cannot be made to third parties.

Bank account holder _____
 Identity number _____
 Name of bank _____
 Account number _____
 Name of branch _____
 Branch code _____
 Type of account: Current Savings

I instruct and authorise Sanlam or its agents to draw direct debits against my bank account as per this instruction, section 2 and 4.1.

Signature bank account holder _____ Date _____ (ddmmccyy)

7. Investor interaction preference

I want to receive marketing information. Yes No

Ways to manage and track your investment

We will send you all your investment correspondence to the email which you provided.

Your statements and tax certificates will be available on the Sanlam Secure Services website should you need to have a printed copy.

Once you have your investor number you can register to transact on Sanlam Secure Services.

In line with Sanlam's responsibility towards the environment, we will no longer send postal statements.

If post is your only means of receiving correspondence, please contact our Client Contact Centre.

8. Self Certification (Tax status)

We require this information in order to report to the South African Revenue Services (SARS) for Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) as per the Automatic Exchange of Information (AEOI) for International tax compliance.

Is South Africa your primary country of tax residence? Yes No

Are you registered to pay tax in South Africa? Yes No

If yes, please provide your South African Tax identification Number (or reason why one has not been issued).

Are you registered for tax in any other country? Yes No

If yes, please provide your Tax identification Number (or reason why none has been issued).

| Country of tax residence | Tax Identification Number | OR | Reason Tax Number not Applicable |
|--------------------------|---------------------------|----|----------------------------------|
| | | | |
| | | | |
| | | | |

9. Investor declaration

By signing this application form I agree that I have read and understand the application form and related terms and conditions.

Signature of investor _____ Date _____ (ddmmccyy)

*Authorised signatory _____ Date _____ (ddmmccyy)

*Authorised signatory _____ Date _____ (ddmmccyy)

*Authorised signatories acting on behalf of the investor (e.g. parents / guardians of a minor and persons authorised to act on behalf of the investor).

Form A

Appoint a financial adviser / broker

Complete and submit this section with your investment application form if you received advice from a financial adviser.

Important Information

Only one financial adviser is applicable per investor.
All fees are explained in the Minimum Disclosure Document (MDD).

Initial advice fee

- Maximum amounts payable as an initial advice fee are explained in the MDD's.
- Initial advice fees are applied to each contribution and deducted before the investment is made on your Client Account.

On-going advice fee

- This annual advice fee is not applicable to funds or classes where a trailer fee is already included in the service fee.
- The annual advice fee is calculated on the daily market value of the investment portfolio, paid to the financial adviser monthly. It is paid in arrears and from the sale of units from the investor's client account, thereby reducing the units.

Financial adviser details

I wish to appoint the following financial adviser as the preferred adviser on all my Sanlam Collective Investment Accounts.

Adviser / Broker code _____

Full name(s) _____ Surname _____

Fee instruction

I agree to pay the following Initial and On-going Advice Fee (excluding VAT).

| Unit Trust Fund Name | Initial Advice Fee % | On-going Advice Fee % |
|----------------------|----------------------|-----------------------|
| | | |
| | | |
| | | |

- If you do not fill in any fees, it will default to 0%.
- If the fund selected does not allow an On-going advice fee, the fee will default to 0%.
- If you have selected a fee greater than that of the fund's maximum, the fee will default to the fund's maximum.
- Any fees indicated on this form will be applied to all future transactions.

Signature of Investor _____ Date _____ (ddmmccyy)

*Authorised signatory _____ Date _____ (ddmmccyy)

*Authorised signatory _____ Date _____ (ddmmccyy)

*Authorised signatories acting on behalf of the investor (e.g. parents / guardians of a minor and persons authorised to act on behalf of the investor).

Sanlam financial adviser / broker declaration

Sanlam financial adviser:

Financial advise

It is the adviser's responsibility to complete the advice documents for this transaction and forward them, with this application form, to Sanlam.

FICA declaration

I confirm that I have identified the investor of this application, as well as the person acting on their behalf (if applicable). I have verified their identity in line with the requirements of the Financial Intelligence Centre Act, 38 of 2001 ("FICA"), and any legislation, regulations or guidelines related to it.

Copies of these documents are attached.

Does this application replace the whole or part of an existing product? Yes No

If yes, please provide a completed replacement advice record with the FAIS documents.

Signature of Sanlam financial adviser

Broker:

FSP license

I declare that I am a licensed financial services provider or a representative of a financial service provider. I am authorised to sell unit trusts.

FSP license number: _____

FICA declaration

I confirm that I have identified the investor of this application, as well as the person acting on their behalf (if applicable). I have verified their identity in line with the requirements of the Financial Intelligence Centre Act, 38 of 2001 (FICA), and any legislation regulations or guidelines related to it.

Copies of these documents are attached.

Signature of broker

Form B

Authorisation from bank account holder

- Complete and submit this section if the payment is from a third party's bank account.
- Copy of Identity document is required for the third party payer.

Investor name and surname _____

Third party information

Title _____ First name(s) _____

Surname _____

Date of birth _____ (ddmmccyy) Country of birth _____

Identity number _____

OR Passport number _____ **OR** Social security number _____

Expiry date _____ (ddmmccyy)

Country of issue _____

Residential Address _____

Country _____ Postal code _____

Email address _____

Cell / Mobile _____

Relationship to investor _____

Occupation Minor/Scholar Retired Salaried employee

Self-employed Student Unemployed

Industry Type

- | | | |
|--|--|---|
| <input type="checkbox"/> Accounting Services | <input type="checkbox"/> Administrative and Support Services | <input type="checkbox"/> Adult Entertainment |
| <input type="checkbox"/> Aerospace & Defense | <input type="checkbox"/> Agriculture, Forestry and Fishing | <input type="checkbox"/> Arms Dealers |
| <input type="checkbox"/> Arts, Entertainment and Recreation | <input type="checkbox"/> Automobiles & Parts | <input type="checkbox"/> Banks |
| <input type="checkbox"/> Beverages | <input type="checkbox"/> Broadcasting and Entertainment | <input type="checkbox"/> Cannabis/CBD Industry |
| <input type="checkbox"/> Cash Aggregators | <input type="checkbox"/> Chemical Engineering/ Manufacturing | <input type="checkbox"/> Community and Social Activities |
| <input type="checkbox"/> Construction and Civil Engineering | <input type="checkbox"/> Consumer Goods: Wholesale and Retail | <input type="checkbox"/> Domestic Services/Gardening Services |
| <input type="checkbox"/> Education | <input type="checkbox"/> Electricity, Solar, Water, Gas and Waste Services | <input type="checkbox"/> Electronic & Electrical Equipment |
| <input type="checkbox"/> Entrepreneurship | <input type="checkbox"/> Equity Investment Instruments | <input type="checkbox"/> Estate, Living and Family Trusts |
| <input type="checkbox"/> Extractive Services, Mining and Quarrying | <input type="checkbox"/> Financial and Insurance | <input type="checkbox"/> Food Producers |
| <input type="checkbox"/> Gambling | <input type="checkbox"/> Government Services | <input type="checkbox"/> Healthcare and Medical |

Tax-Free Unit Trust Application Form (Individual Investors)

| | | |
|---|---|--|
| <input type="checkbox"/> High Transaction Volume Import/Export Companies | <input type="checkbox"/> High Value Goods Dealers (Including Motor Vehicle Dealers, Art Dealers, Luxury Goods/Services Etc) | <input type="checkbox"/> Household Goods & Home Construction Materials |
| <input type="checkbox"/> Industrial Engineering | <input type="checkbox"/> Industrial Metals | <input type="checkbox"/> Informal Trading |
| <input type="checkbox"/> Information Technology, Communication and Telecoms | <input type="checkbox"/> Legal Practitioner | <input type="checkbox"/> Manufacturing |
| <input type="checkbox"/> Media | <input type="checkbox"/> Money Transfer/Service Business | <input type="checkbox"/> Motor Wholesale, Retail Trade and Repair |
| <input type="checkbox"/> Non-Equity Investment Instruments | <input type="checkbox"/> Non-Profit Organisation/Regulated Charity | <input type="checkbox"/> Non-Government Organisation (NGO) |
| <input type="checkbox"/> Oil & Gas Producers/Suppliers | <input type="checkbox"/> Pawn Brokers/Second Hand Dealers | <input type="checkbox"/> Pharmaceutical & Biotechnology |
| <input type="checkbox"/> Precious Metals and Stone Dealers | <input type="checkbox"/> Professional Sport | <input type="checkbox"/> Public Finance Management Art Schedule |
| <input type="checkbox"/> Real Estate and Property Services | <input type="checkbox"/> Reinsurance | <input type="checkbox"/> Scrap Metal Industry |
| <input type="checkbox"/> Shell Banking | <input type="checkbox"/> State Owned Enterprises | <input type="checkbox"/> Tobacco |
| <input type="checkbox"/> Transport, Storage, Courier and Freight | <input type="checkbox"/> Travel, Tourism, Accommodation and Food Services | <input type="checkbox"/> Virtual Currencies |

Source of Income / Source of Funds (Select only one option)

Non-Individual Investor

| | | |
|---|--|---|
| <input type="checkbox"/> Business Operating Income | <input type="checkbox"/> Commission | <input type="checkbox"/> Company profits |
| <input type="checkbox"/> Company sale/sale of interest in company | <input type="checkbox"/> Cryptocurrency | <input type="checkbox"/> Debt capital |
| <input type="checkbox"/> Dividends from investments | <input type="checkbox"/> Equity capital | <input type="checkbox"/> Employee benefits |
| <input type="checkbox"/> Gift/Donation | <input type="checkbox"/> Inheritance | <input type="checkbox"/> Maturing Investments |
| <input type="checkbox"/> Member Contributions | <input type="checkbox"/> Pension | <input type="checkbox"/> Provident fund |
| <input type="checkbox"/> Rental of Property | <input type="checkbox"/> Retained Earnings | <input type="checkbox"/> Retirement funds |
| <input type="checkbox"/> Sale asset/property | <input type="checkbox"/> Sale of Shares | <input type="checkbox"/> Sanlam payout |
| <input type="checkbox"/> Savings | <input type="checkbox"/> Settlement | <input type="checkbox"/> Tax Rebate |
| <input type="checkbox"/> Transfer to/from approved funds | <input type="checkbox"/> Trust income | |

Individual Investor

| | | |
|---|---|---|
| <input type="checkbox"/> Allowance | <input type="checkbox"/> Bonus | <input type="checkbox"/> Bursary |
| <input type="checkbox"/> Business Operating Income | <input type="checkbox"/> Commission | <input type="checkbox"/> Company profits |
| <input type="checkbox"/> Company sale/sale of interest in company | <input type="checkbox"/> Debt capital | <input type="checkbox"/> Disability/Social grant |
| <input type="checkbox"/> Dividends from investments | <input type="checkbox"/> Divorce Settlement | <input type="checkbox"/> Equity capital |
| <input type="checkbox"/> Gambling winnings | <input type="checkbox"/> Gift/Donation | <input type="checkbox"/> Gratuity |
| <input type="checkbox"/> Income from previous employment | <input type="checkbox"/> Inheritance | <input type="checkbox"/> Loan |
| <input type="checkbox"/> Lobola | <input type="checkbox"/> Lottery winnings | <input type="checkbox"/> Maintenance (formal agreement) |
| <input type="checkbox"/> Maintenance (informal agreement) | <input type="checkbox"/> Maturing investments | <input type="checkbox"/> Pension |

- | | | |
|---|---|---|
| <input type="checkbox"/> Provident fund | <input type="checkbox"/> Rental of property | <input type="checkbox"/> Retirement funds |
| <input type="checkbox"/> Salary | <input type="checkbox"/> Sale asset/property | <input type="checkbox"/> Sale of Shares |
| <input type="checkbox"/> Sanlam payout | <input type="checkbox"/> Savings | <input type="checkbox"/> Settlement |
| <input type="checkbox"/> Tax Rebate | <input type="checkbox"/> Transfer to/from approved fund | <input type="checkbox"/> Trust Income |
| <input type="checkbox"/> Virtual Currency | | |
-

Third party banking details

Indicate whether you are adding a new bank account or replacing the existing bank account provided to SCI.

Yes I am adding an additional bank account No I am replacing the existing bank account

Not Applicable (New bank account)

Bank account holder _____

Name of bank _____

Account number _____

Name of branch _____

Branch code _____

Type of account Current Savings

Declaration

I instruct and authorise Sanlam or its agents to draw direct debits against my bank account as per the instruction in section 3 and 5.

Signature bank account holder _____ Date _____ (ddmmccyy)

Authorised signatory on bank account _____ Date _____ (ddmmccyy)

Form C

Authorisation to act on behalf of an Investor

• Important Information

- This form must be completed by **all** parties acting on behalf of the investor as stated in the [Regulatory Supporting Information](#).
- Each person is required to complete the sections below. In the event that more than one page is required, copies of this section can be made and must accompany the fully completed application form.
- Documents must be provided as stated in the [Regulatory Supporting Information](#).
- The [Terms and Conditions](#) are available on the web. If you cannot access the link provided above this can be obtained from our Client Contact Centre.

Investor name and surname _____

Personal details

Title _____ First name(s) _____

Surname _____

Permanent residential address _____

Country _____ Postal code _____

Date of birth _____ (ddmmccyy) Country of birth _____

Identity number _____

OR Passport number _____ **OR** Social security number _____

Expiry date _____ (ddmmccyy)

Country of issue _____

Email address _____

Cell / Mobile _____

Relationship (e.g. parent, guardian) _____

Primary country of tax residence _____

Tax Identification Number _____

Are you a registered tax payer of any country other than your primary country of residence? Yes No

If yes, please complete the information below for each country of tax residency.

| Country of tax residence | Tax Identification Number | OR | Reason Tax Number not Applicable |
|--------------------------|---------------------------|----|----------------------------------|
| | | | |
| | | | |
| | | | |

Declaration and signature

I certify that the information I have provided above is true and correct.

*Authorised signatory _____ Date _____ (ddmmccyy)

*Authorised signatory _____ Date _____ (ddmmccyy)

*Only authorised signatories acting on behalf of the investor must sign (e.g. parent, guardian, etc.)