Sanlam
Live with confidence

portfolios managed by
Merchant West Investments

Unit Trust Additional Investment

Individual and Non-Individual Investors (existing investors only)

Transact Online
Transact on our Secure Online Services to save time.

- View and manage your portfolio online and securely.
- Top up, switch and disinvest from your investor account.
- Request tax, quarterly and ad-hoc statements.
- Update your personal details.

To register, go to: https://cp.sanlam.co.za.

- Completing this form allows you to invest into a new fund or make an additional investment into your existing fund.
- View the full list of funds and the Minimum Disclosure Documents (MDD's) with applicable fund minimums and fees, refer to www.sanlamunittrustsmdd.co.za
- The Terms and Conditions are available on the web. If you cannot access the link provided above this can be obtained from our Client Contact Centre.
- **Completing the information correctly** will ensure that there is no delay in processing your request.
- Initial any changes you make on the form.
- If the additional investment made by you does not specify the Fund to invest in and you have an existing balance in your portfolio, SCI reserves the right to invest the cash received in the Fund with the positive balance, provided that all other validations have been met.
- The registered investor or authorised signatory must date and sign the form.
- Write instructions inside the allocated fields. All information outside the fields will be omitted.
- Return **pages 2 to 7** to us with the relevant additional sections below.
- Complete and return the following sections if applicable:
  - **Appoint a financial adviser / broker** - Form A
  - **Authorisation from bank account holder** - Form B

If the bank account holder is a third party the following verification documents are required:
Individuals

- Copy of the third party's identity document.

Non-individuals

- A letter from the legal entity stating the list of authorised signatories.
- Copies of verification documents to verify the legal entity.
- Proof of address - utility bill not older than 3 months.
- Proof of banking details (copy of a bank statement, not older than 3 months) that includes a bank logo and date stamp.

Our contact details

Send the completed form and supporting documents to:

E-mail Address	utinstructions@sanlaminvestmentssupport.com

If you have any questions, contact us at:

E-mail Address	service@sanlaminvestments.com
Tel	0860 100 266
Website	www.sanlaminvestments.com

Cut off times

Fund Type	Cut off time
Money Market funds	13:00
All other funds	15:00

All required documents must be provided before the cut off time in order for your instruction to be processed on the same day.

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1. Investor Details

(All fields with * are compulsory)

*Investor code(s) ______________________

*Title ________

*Full name(s) and surname / Name of Legal Entity ______________________

Identity number / Registration number ______________________

OR

Passport number ______________________

2. Investor declaration

I confirm that I:

- have read and understand the important notes, terms and conditions on the first page.
- have the authority and am legally competent to enter into and conclude this transaction, with the necessary legal assistance when it is required.
- am aware that the legal guardian must sign the instruction on behalf of a minor (if applicable).

Signature of investor ______________________ Date ____________ (ddmmccyy)

*Authorised signatory ______________________ Date ____________ (ddmmccyy)

*Authorised signatory ______________________ Date ____________ (ddmmccyy)

*Authorised signatories acting on behalf of the investor (e.g. parents / guardians of a minor and persons authorised to act on behalf of the investor).

3. Investment Instruction

Please select the fund(s) you would like to invest in and indicate the amount you would like to invest.
If you are unsure about which funds suit your needs, please consult your broker or Sanlam financial adviser.
Please review the full list of funds and the Minimum Disclosure Documents (MDD's) with applicable fund minimums and fees, refer to www.sanlamunittrustsmdd.co.za.
Retail Investors will be defaulted to the Retail Class.

Fund name	***Class**	****Tax-Free Savings Account Transfer (R)**	**Lump sum deposit** *Please provide an estimate if amount is still to be confirmed.* **(R)**	**Monthly recurring debit order (R)**	**Income distribution (Please tick selection)**	
					Reinvest	**Payout**
Merchant West SCI Balanced Plus Fund					☐	☐
Merchant West SCI Cautious Fund					☐	☐
Merchant West SCI Dividend Equity Fund					☐	☐
Merchant West SCI Enhanced Income Fund					☐	☐
Merchant West SCI Flexible Income Fund					☐	☐
Merchant West SCI Global Equity Feeder Fund					☐	☐
Merchant West SCI Global Managed Growth Fund					☐	☐
Merchant West SCI Global Property Income Fund					☐	☐
Merchant West SCI Managed P&G® Fund					☐	☐
Merchant West SCI Money Market Fund					☐	☐
Merchant West SCI Stable P&G® Fund					☐	☐
Merchant West SCI Value Fund					☐	☐
Merchant West SCI Worldwide Flexible Fund					☐	☐

Fund name	*Class	**Tax-Free Savings Account Transfer (R)	Lump sum deposit *Please provide an estimate if amount is still to be confirmed.* (R)	Monthly recurring debit order (R)	Income distribution (Please tick selection)	
					Reinvest	Payout
					☐	☐
					☐	☐

* Please note: If you do not specify a class you will be allocated to a default class.
** If you intend to transfer money into your Tax-Free Savings Account from another Tax-Free Product Provider, please provide your fund choice and an estimate of the amount under "Tax-Free Savings Account Transfer".

Source of Income

If the investor is a non-individual, select one of the following source of income selections:

- [ ] Business Operating Income
- [ ] Commission
- [ ] Cryptocurrency
- [ ] Company Profits
- [ ] Company sale/sale of interest in company
- [ ] Dividends from investments
- [ ] Member Contributions
- [ ] Pension
- [ ] Rental of Property
- [ ] Retained Earnings
- [ ] Savings
- [ ] Trust Income

If the investor is an individual, select one of the following source of income selections::

- [ ] Allowance
- [ ] Bonus
- [ ] Business Operating Income
- [ ] Commission
- [ ] Company sale/sale of interest in company
- [ ] Disability/Social Grant
- [ ] Dividends from Investments
- [ ] Gratuity
- [ ] Maintenance (formal agreement)
- [ ] Maintenance (informal agreement)
- [ ] Pension
- [ ] Rental of Property
- [ ] Salary
- [ ] Savings
- [ ] Trust Income
- [ ] Virtual Currency

Source of Funds

If the investor is a non-individual, select one of the following source of income selections:

- [ ] Commission
- [ ] Company Profits
- [ ] Cryptocurrency
- [ ] Debt Capital
- [ ] Employee Benefits
- [ ] Equity Capital
- [ ] Gift/Donation
- [ ] Inheritance
- [ ] Maturing Investments
- [ ] Pension
- [ ] Provident Fund
- [ ] Retained Earnings
- [ ] Retirement Funds
- [ ] Sale asset/property
- [ ] Sale of Shares
- [ ] Sanlam Payout
- [ ] Savings
- [ ] Settlement
- [ ] Tax Rebate
- [ ] Transfer to/from approved funds

If the investor is an individual, select one of the following source of funds selections:

- [ ] Bonus
- [ ] Bursary
- [ ] Commission
- [ ] Company Profits
- [ ] Debt Capital
- [ ] Divorce Settlement
- [ ] Equity Capital
- [ ] Gambling Winnings
- [ ] Gift/Donation
- [ ] Income from previous employment
- [ ] Inheritance
- [ ] Loan
- [ ] Lobola
- [ ] Lottery Winnings
- [ ] Maturing Investments
- [ ] Provident Fund
- [ ] Pension
- [ ] Retirement Funds
- [ ] Sale asset/property
- [ ] Sale of shares
- [ ] Salary
- [ ] Sanlam Payout
- [ ] Savings
- [ ] Settlement
- [ ] Tax Rebate
- [ ] Transfer to/from approved fund

4. Payment instructions

You have the following options for payment:

4.1 We collect funds via debit order

☐ Monthly debit order on the ☐ 1st or ☐ 21st day of each month starting ____________________ (mmccyy)

Annual increase ____________ %

Annual increase date ____________ (mmccyy)

Payment selection

☐ Payment is from my existing bank account

OR

☐ Payment is from my new bank account (**Complete Section 5)**

OR

☐ Payment is from a third party bank account **(Complete Form B)**

OR

4.2 You pay via an Electronic Fund Transfer (EFT)

☐ Lump sum deposit

- Please complete an Additional Investment form. Kindly refer to your welcome letter for banking details, alternatively contact the Contact Service Centre for banking details.

OR

4.3 Transferring in from another Tax-Free Product Provider

☐ Tax-Free lump sum transfer

- In addition to this form, a Tax-Free Savings Account Transfer form is required to be completed. Please contact our Client Contact Centre to obtain this form.

Transferring Tax-Free Product Provider name ________________________________

Tax-Free Savings Account number to be transferred from ________________________________

5. Investor banking details

Use bank details for the following:

☐ Debit order ☐ Disinvestment ☐ Income Distribution

Payments will only be made into the account of the registered investor. Payments cannot be made to third parties.

Bank account holder ______________________________

Identity number ______________________________

Name of bank ______________________________

Account number ______________________________

Name of branch ______________________________

Branch code ______________________________

Type of account: ☐ Current ☐ Savings

I instruct and authorise Sanlam or its agents to draw direct debits against my bank account as per this instruction, section 3 and 4.

Signature of bank account holder ______________________ Date ______________ (ddmmccyy)

Authorised signatory on bank account ______________________ Date ______________ (ddmmccyy)
(If applicable)

Authorised signatory on bank account ______________________ Date ______________ (ddmmccyy)
(If applicable)

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Form A

Appoint a financial adviser / broker

Complete and submit this section with your investment application form if you received advice from a financial adviser.

Important Information

Only one financial adviser is applicable per investor.
All fees are explained in the Minimum Disclosure Document (MDD).
Should you wish to change the financial adviser on your accounts, please complete an *Investor Details Update Form*.

Initial advice fee:

- Maximum amounts payable as an initial advice fee are explained in the MDD's.
- Initial advice fees are applied to each contribution and deducted before the investment is made on your Client Account.

On-going advice fee:

- This annual advice fee is not applicable to funds or classes where a trailer fee is already included in the service fee.
- The annual advice fee is calculated on the daily market value of the investment portfolio, paid to the financial adviser monthly. It is paid in arrears and from the sale of units from the investor's client account, thereby reducing the units.
- To cancel the on-going advice fee, complete an *Investor Details Update form*, available at www.sanlaminvestments.com

Financial adviser details

I wish to appoint the following financial adviser as the preferred adviser on all my Sanlam Collective Investment Accounts.

Adviser / Broker code ______________________

Full name(s) ______________________ Surname ______________________

Fee instruction

I agree to pay the following Initial and On-going Advice Fee (excluding VAT).

Unit Trust Fund Name	Initial Advice Fee %	On-going Advice Fee %

- If you do not fill in any fees, it will default to 0%.
- If the fund selected does not allow an On-going advice fee, the fee will default to 0%.
- If you have selected a fee greater than that of the fund's maximum, the fee will default to the fund's maximum.
- Any fees indicated on this form will be applied to all future transactions.

Signature of Investor ______________________ Date ____________ (ddmmccyy)

*Authorised signatory ______________________ Date ____________ (ddmmccyy)

*Authorised signatory ______________________ Date ____________ (ddmmccyy)

*Authorised signatories acting on behalf of the investor (e.g. parents / guardians of a minor and persons authorised to act on behalf of the investor).

Sanlam financial adviser / broker declaration

Sanlam financial adviser:

Financial advice

It is the adviser's responsibility to complete the advice documents for this transaction and forward them, with this application form, to Sanlam.

FICA declaration

I confirm that the investor recorded in this application, or the person acting on their behalf, confirmed his / her identity with original, acceptable FICA documents.

Copies of these documents are attached.

Does this application replace the whole or part of an existing product? ☐ Yes ☐ No

If yes, please provide a completed replacement advice record with the FAIS documents.

____

Signature of Sanlam financial adviser

Broker:

FSP license

I declare that I am a licensed financial services provider or a representative of a financial service provider. I am authorised to sell unit trusts.

FSP license number: ______________________________

FICA declaration

I confirm that I have identified the investor of this application, as well as the person acting on their behalf (if applicable). I have verified their identity in line with the requirements of the Financial Intelligence Centre Act, 38 of 2001 (FICA), and any legislation regulations or guidelines related to it.

Copies of these documents are attached.

____

Signature of broker

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Form B
Authorisation from bank account holder

- Complete and submit this section if the payment is from a third party's bank account.
- Copy of Identity document is required for the third party payer.

Third party information

First name(s) and Surname / Registered name of legal entity ______________________

Date of birth / incorporation ____________ (ddmmccyy) Country of birth / incorporation ____________

Identity / Registration number ______________________

OR Passport number ____________ **OR** Social security number ____________

Expiry date ____________ (ddmmccyy)

Country of issue ____________

Residential Address ______________________

____

Country ______________________ Postal code ____________

Email address ______________________

Cell / Mobile ______________________

Designation e.g.(trustee / founder / beneficiary > 5% ownership) ______________________

Occupation

- ☐ Minor/Scholar
- ☐ Retired
- ☐ Salaried employee
- ☐ Self-employed
- ☐ Student
- ☐ Unemployed

Industry of Occupation / Entity (Select only one option)

Please provide us with the nature of your business activities or occupation.

- ☐ Accounting Services
- ☐ Administrative and Support Services
- ☐ Adult Entertainment
- ☐ Aerospace & Defense
- ☐ Agriculture, Forestry and Fishing
- ☐ Arms Dealers
- ☐ Arts, Entertainment and Recreation
- ☐ Automobiles & Parts
- ☐ Banks
- ☐ Beverages
- ☐ Broadcasting and Entertainment
- ☐ Cannabis/CBD Industry
- ☐ Cash Aggregators
- ☐ Chemical Engineering/ Manufacturing
- ☐ Community and Social Activities
- ☐ Construction and Civil Engineering
- ☐ Consumer Goods: Wholesale and Retail
- ☐ Domestic Services/Gardening Services
- ☐ Education
- ☐ Electricity, Solar, Water, Gas and Waste Services
- ☐ Electronic & Electronical Equipment
- ☐ Entrepreneurship
- ☐ Equity Investment Instruments
- ☐ Estate, Living and Family Trusts
- ☐ Extractive Services, Mining and Quarrying
- ☐ Financial and Insurance
- ☐ Food Producers
- ☐ Gambling
- ☐ Government Services
- ☐ Healthcare and Medical
- ☐ High Transaction Volume
- ☐ High Value Goods Dealers
- ☐ Household Goods & Home

- Import/Export Companies
- (Including Motor Vehicle Dealers, Art Dealers, Luxury Goods/Services Etc)
- Construction Materials
- Industrial Engineering
- Industrial Metals
- Informal Trading
- Information Technology, Communication and Telecoms
- Legal Practitioner
- Manufacturing
- Media
- Money Transfer/Service Business
- Motor Wholesale, Retail Trade and Repair
- Non-Equity Investment Instruments
- Non-Profit Organisation/Regulated Charity
- Non-Government Organisation (NGO)
- Oil & Gas Producers/Suppliers
- Pawn Brokers/Second Hand Dealers
- Pharmaceutical & Biotechnology
- Precious Metals and Stone Dealers
- Professional Sport
- Public Finance Management Art Schedule
- Real Estate and Property Services
- Reinsurance
- Scrap Metal Industry
- State Owned Enterprises
- Tobacco
- Transport, Storage, Courier and Freight
- Travel, Tourism, Accommodation and Food Services
- Virtual Currencies

Source of Income

If the third party investor is a non-individual, select one of the following source of income selections:

- Business Operating Income
- Commission
- Cryptocurrency
- Company Profits
- Company sale/sale of interest in company
- Dividends from investments
- Member Contributions
- Pension
- Rental of Property
- Retained Earnings
- Savings
- Trust Income

If the third party investor is an individual, select one of the following source of income selections:

- Allowance
- Bonus
- Business Operating Income
- Commission
- Company sale/sale of interest in company
- Disability/Social Grant
- Dividends from Investments
- Gratuity
- Maintenance (formal agreement)
- Maintenance (informal agreement)
- Pension
- Rental of Property
- Salary
- Savings
- Trust Income
- Virtual Currency

Source of Funds

If the third-party investor is a non-individual, select one of the following source of funds selections:

- Commission
- Company Profits
- Cryptocurrency
- Debt Capital
- Employee Benefits
- Equity Capital
- Gift/Donation
- Inheritance
- Maturing Investments
- Pension
- Provident Fund
- Retained Earnings
- Retirement Funds
- Sale asset/property
- Sale of Shares
- Sanlam Payout
- Savings
- Settlement

☐ Tax Rebate ☐ Transfer to/from approved funds

If the third party investor is an individual, select one of the following source of funds selections:

☐ Bonus	☐ Bursary	☐ Commission
☐ Company Profits	☐ Debt Capital	☐ Divorce Settlement
☐ Equity Capital	☐ Gambling Winnings	☐ Gift/Donation
☐ Income from previous employment	☐ Inheritance	☐ Loan
☐ Lobola	☐ Lottery Winnings	☐ Maturing Investments
☐ Provident Fund	☐ Pension	☐ Retirement Funds
☐ Sale asset/property	☐ Sale of shares	☐ Salary
☐ Sanlam Payout	☐ Savings	☐ Settlement
☐ Tax Rebate	☐ Transfer to/from approved fund	

Third party banking details

Indicate if you are adding an additional bank account to the one provided in the Investor Banking Details section above

Yes I am adding an additional bank account ☐

Bank account holder ______________________________

Name of bank ______________________________

Account number ______________________________

Name of branch ______________________________

Branch code ______________________________

Type of account ☐ Current ☐ Savings

Declaration

I confirm that the information completed on this form is complete and accurately captured.

Signature bank account holder ______________________ Date ____________ (ddmmccyy)

Authorised signatory on bank account ______________________ Date ____________ (ddmmccyy)